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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

O'REILLY ET AL.

APPLICATION NO: 10/633,114

FILED: AUGUST 1, 2003

FOR: CANCER TREATMENT WITH EPOTHILONES

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

Sir:

This paper is being filed within three months of the filing date of the application. Therefore, no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

The listed references are of record in parent Application No. 09/925,119 filed August 9, 2001, and copies are available therein. However, applicants are willing to send copies of any or all of these references at the Examiner's request.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted.

Attorney for Applicants

Reg. No. 36,214

Novartis Corporate Intellectual Property One Health Plaza, Building 430 East Hanover, NJ 07936-1080 (862) 778-7898

Date: Oct 8, 2009

FORM PTO-1449 (REV. 7-85)

(Use s veral she ts if necessary)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION 10/633,114 APPLICANT

ATTY, DOCKET NO. 4-30400/B APPLICATION NO. O'REILLY ET AL. FILING DATE August 1, 2003

Group

# **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AA	6,302,838B1	10/16/01	O'Reilly et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	АН						

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRAN YES	SLATION NO
Al	WO 98/25929	6/18/98	PCT				
AJ	WO 99/01124	1/14/99	PCT				
AK	WO 99/02514	1/21/99	PCT				
 AL	WO 97/19086	5/29/97	PCT (w/ English abstract)				
AM	WO 93/10121	5/27/93	PCT (w/ English abstract)				
 AN	41 38 042	5/27/93	Germany (w/ English abstract)				
AO	WO 9907692	2/18/99	PCT (w/ English abstract)				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

AP	Balog et al., Tetrahedron Lett., Vol. 38(26), "Stereoselective Syntheses and Evaluation of Compounds in the 8-Desmethylepothilone A Series: Some Surprising Observations Regarding Their Chemical and Biological Properties," pp. 4529-4532 (1997)
AQ	Blagosklonny et al., Cancer Res., Vol. 57, "Raf-1/bcl-2 Phosphorylation: A Step from Microtubule Damage to Cell Death," pp. 130-135 (1997)
AR	Bollag et al., Cancer Res., Vol. 55, "Epothilones, a New Class of Microtubule-stabilizing Agents with a Taxol-like Mechanism of Action," pp. 2325-2333 (1995)

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DATE CONSIDERED

Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

FORM PTO-1449 (REV. 7-85)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

ATTY, DOCKET NO. 4-30400/B APPLICATION NO. 10/633,114 APPLICANT O'REILLY ET AL. FILING DATE

August 1, 2003

Group

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WALL	"ONE"	OTHER DOCUMENTS (Including Author, Title, Date, Partition pages, Etc.)
	AA	Bollag, D.M., Exp. Opin. Invest. Drugs, Vol. 6(7), "Epothilones: novel microtubule-stabilising agents," pp. 867-873 (1997)
	АВ	Chou et al., Proc. Natl. Acad. Sci. USA, Vol. 95, "Desoxyepothilone B: An efficacious microtubule- targeted antitumor agent with a promising in vivo profile relative to epothilone B," pp. 9642-9647 (1998)
	AC	Finlay, R., Chemistry & Industry, "Metathesis vs. metastasis: the chemistry and biology of the epothilones," pp. 991-996 (December 15, 1997)
	AD	Gerth et al., J. Antibiotics, Vol. 49, "Epothilons A and B: Antifungal and Cytotoxic Compounds from Sorangium cellulosum (Myxobacteria) - Production, Physico-chemical and Biological Properties," pp. 560-563 (1996)
	AE	Giannakakou et al., J. Biol. Chem., Vol. 27, "Paclitaxel-resistant Human Ovarian Cancer Cells Have Mutant β-Tubulins That Exhibit Impaired Paclitaxel-driven Polymerization," pp. 17118-17125 (1997)
	AF	Grever et al., Seminars in Oncol., Vol. 19(6), "The National Cancer Institute: Cancer Drug Discovery and Development Program," pp. 622-638 (1992)
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	АН	Jordan et al., Med. Res. Rev., Vol. 18, "Tubulin as a Target for Anticancer Drugs: Agents Which Interact with the Mitotic Spindle," pp. 259-295 (1998)
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	AJ	May et al., Chemical Communication, "Total synthesis of ()-epothilone B," pp. 1597-1598 (1998)
	AK	Moasser et al., Proc. Natl. Acad. Sci. USA, Vol. 95, "Farnesyl transferase inhibitors cause enhanced mitotic sensitivity to taxol and epothilones," pp. 1369-1374 (1998)
	AL	Mühlradt et al., Cancer Research, Vol. 57, "Epothilone B Stabilizes Microtubuli of Macrophages Like Taxol without Showing Taxol-like Endotoxin Activity," pp. 3344-3346 (1997)
	AM	Nicolaou et al., Nature, Vol. 387, "Synthesis of epothilones A and B in solid and solution phase," pp. 268-272 (1997)
	AN	Nicolaou et al., Angew. Chem. Int. Ed., Vol. 36(19), "Designed Epothilones: Combinatorial Synthesis, Tubulin Assembly Properties, and Cytotoxic Action against Taxol-Resistant Tumor Cells," pp. 2097-2103 (1997)

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\*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

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35 (English translation attached)

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<sup>\*</sup>EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.